Lecture No 8.

RESEARCH & TECHNOLOGICAL DEVELOPMENT IN THE EU

ACCESSIBILITY & DISSEMINATION OF PROFESSIONAL KNOWLEDGE - THE ROLE OF UNIVERSITIES

Dr. András Timár Professor Emeritus University of Pécs – Faculty of Engineering & Information Technology

RECOMMENDED READINGS

 European universities in the European Research Area: Building on strengths - EUA 2014 ERA Progress Report. September 2014.

http://www.eua.be/Libraries/publication/2014_EUA_MoU_report.pdf?sfvrsn=0

Assessment of progress in achieving ERA in Member States and Associated Countries. Final Report to DG Research and Innovation. 8 May 2015.

http://ec.europa.eu/research/era/pdf/era-communication/era final report 2015.pdf

Innovation union: a pocket guide on a Europe 2020 initiative. European Union, Brussels, 2013.

https://ec.europa.eu/eip/agriculture/sites/agri-eip/files/innovation-pocket-book_en.pdf

 European Research Area Progress Report 2014 accompanied by Facts and Figures 2014. European Union, Brussels, September 2014.

http://ec.europa.eu/research/era/pdf/era_progress_report2014/era_progress-report_150521.pdf

DEFINITIONS

Research:

 a detailed study of a subject, especially in order to discover (new) information or reach a (new) understanding

Research & Development (R&D):

 the part of a business that tries to find ways to improve existing products, and/or to develop new ones

Innovation:

 discovering (and introducing, using) a new idea, product or method

DEFINITIONS

Technology:

The purposeful application of scientific knowledge and information in industry and commerce, i. e. in the design, production and utilization of goods and services and in the organization of human activities

Technological development (TD):

- The change/modification (improvement, alteration) of a technology
- In engineering it's common to go through several stages of development before the final variation is decided upon and cleared for production and sale: each stage from start to finish is a development Timár. 201

TIME & TECHNOLOGY



With the passage of time, technology has become very vital to human beings and it has gotten to the point where most people fall asleep with their phone or tablet next to them

POLICY OBJECTIVES

- Conducting European research policies and implementing EU research programmes is a legal and political obligation resulting from the Amsterdam Treaty
- The Treaty include a whole chapter on research and technological development (RTD), so as to underline that RTD is an essential element in the functioning of industrialised countries, such as EU Member States
- Competitiveness of companies and the employment they can provide depend to a great extent on RTD
- RTD is also essential for the support of other policies such as consumer protection of the environment
- The individual and collective wellbeing of citizens depends also on the quality and relevance of RTD

EU INTERVENTION

- The EU must also play an active role in organisation, co-ordination and management of RTD because of a number of developments inherent to the RTD sector itself:
 - high level research is increasingly complex and interdisciplinary
 - high level research is increasingly costly
 - high level research requests a constantly increasing "critical mass,,
- Organising co-operation at different levels, coordinating national or European policies, networking teams and increasing the mobility of individuals and ideas is therefore a requirement resulting from the development of modern research in a global environment

EUROPEAN RESEARCH AREA



European Research Area

- Taking up this challenge the European
 Commission, Member States and the European
 Parliament, the scientific community and industry are now committed to work jointly towards the
 creation of a "European Research Area" (ERA)
- The ERA is defined as a unified research area which enables the free circulation of researchers, scientific knowledge and technology
- It should enable Member States and the EU overall to strengthen its scientific and technological bases, competitiveness and capacity to address great challenges

ERA PRIORITIES

- **1.** More effective national research systems
- 2. Optimal transnational co-operation and competition
- 3. An open labour market for researchers
- 4. Gender equality and gender mainstreaming in research
- 5. Optimal access to and circulation & transfer of scientific knowledge, including via digital ERA

INTERVENTION LOGIC ERA PRIORITY 1

	ERA Priorities (Objectives)	Problem areas	ERA Actions	Activities	Outputs	Outcomes	Impacts
		Limited public resources for RTD Insufficient	1a. Competitive funding through calls	MS/AC and RFOs design or amend national research and	increased share of competitively allocated funding through RFO in total RTD spending.		Improve capacity and
1.	More effective	competition in national research systems Strong variation in share of competitively	for proposals applying international peer review	innovation strategies and funding mechanisms	increased share of RTD budget allocated through peer review	Increased number of high-impact scientific publications Increased social	efficiency of national research systems Higher degree of specialisation Higher performance in
	national research systems	allocated funding across EU Little institutional funding based on performance criteria Strong overlap in research profiles of RFOs and RPOs, no specialisation.	1b. Institutional funding-based on institutional assessment	MS/AC introduce qualitative performance goals for institutional funding mechanisms	Increases share of institutional funding allocated to RPOs based on institutional assessment and/or evaluation and performance-related indicators.	Impact of research Increased number of patent applications and co-patents	output Less overlap in research and scientific profiles

RFO – Request for Offer; RPO – Research Protection Office; MS – Member State; AC – Academic Community

(EXAMPLE)

COORDINATION NEEDED

- The crosscutting focus on international cooperation encourages Member States to foster openness for international cooperation to maximise EU research potential
- Most Member States already have national strategies for international cooperation on research and innovation
- National level initiatives could be strengthened through greater coordination between Member States

ERA PROGRESS REPORTS



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http://ec.europa.eu/research/era/pdf/era_progress_report2014/era_facts&figures_2014.pdf

ASSESSMENT OF ERA'S PROGRESS

ICF



Assessment of progress in achieving ERA in Member States and Associated Countries Final Report to DG Research and Innovation

8 May 2015

The study was expected to examine whether the measures in place in Member States and Associated Countries had advanced since 2012 and were better aligned with the ERA priorities

Associated countries:

Albania, Bosnia and Herzegovina, Faroe Islands, Macedonia, Iceland, Israel, Lichtenstein, Moldova, Montenegro, Norway, Serbia, Switzerland and Turkey

ERA RESEARCH SYSTEMS & INNOVATION PERFORMANCE (2014)



Source: DG Research and Innovation calculations based on Innovation Union Scoreboard 2014

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ERA PROGRESS

Classification of Member States according to their policies in support of ERA



ERA PROGRESS REPORT 2015

- The report confirms that the ERA partnership has made good progress:
 - Member States increasingly adopt measures in support of ERA, and reflect them in their national reform programmes.
 - The Stakeholder Organisations continuously support the **ERA** agenda
 - The EU has embedded ERA in the European semester, provides substantial funding for ERA measures and promotes open recruitment, open access to publications and data as well as gender equality through the Horizon 2020
- A solid monitoring mechanism has been established and is delivering data on levels of progress. It is an essential component in ERA policy-making Timár. 201 16

ROLE OF UNIVERSITIES



 The European University Association (EUA) is the representative organisation of universities and national rectors'
 conferences in 47 European countries

 EUA plays a crucial role in influencing EU policies on higher education, research and innovation

The EUA provides a unique expertise in higher education and research as well as a forum for exchange of ideas and good practice among universities

EUA'S CONTRIBUTION

European universities in the European Research Area: Building on strengths

EUA 2014 ERA Progress Report



 EUA is committed to promote the ERA goals and encourage their respective memberships to carry out actions addressing them
 EUA's commitments included actions in the areas of:

- i) doctoral training, research careers and mobility;
- ii) university-industry collaboration and knowledge transfer;
- iii) research and innovation strategies for smart specialisation and cross-border cooperation;
- iv) open access to publications and data.

NEW DOCTORAL GRADUATES PER THOUSAND POPULATION AGED 25-34



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EU R&D POLICY OBJECTIVES

- Research is an investment in the future and so put it at the heart of the EU's policy for sustainable growth and job creation
- The goal is to ensure EU produces worldclass science, removes barriers to innovation and makes it easier for the public and private sectors to cooperate in delivering innovation
- It will be complemented by further measures, which will aim at breaking down barriers to create a genuine single market for knowledge, research and innovation

R&D PERSONNEL BY SECTOR (2013; % OF LABOUR FORCE)



(1) Germany, Ireland, Croatia, Latvia, Lithuania, Hungary, the Netherlands, Norway and Turkey: not available.

GROSS DOMESTICEXPENDITURE ON R&D(% of GDP)



GROSS DOMESTIC EXPENDITURE ON R&D

(% of GDP)

	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
EU-28	1.80	1.76	1.76	1.78	1.78	1.85	1.94	1.93	1.97	2.01	2.01
Euro area (EA-19)	1.81	1.78	1.78	1.80	1.81	1.89	1.99	1.99	2.04	2.09	2.09
Belgium	1.83	1.81	1.78	1.81	1.84	1.92	1.97	2.05	2.15	2.24	2.28
Bulgaria	0.47	0.48	0.45	0.45	0.44	0.46	0.51	0.59	0.55	0.62	0.65
Czech Republic	1.15	1.15	1.17	1.23	1.31	1.24	1.30	1.34	1.56	1.79	1.91
Denmark (')	2.51	2.42	2.39	2.40	2.51	2.78	3.07	2.94	2.97	3.02	3.06
Germany	2.46	2.42	2.43	2.46	2.45	2.60	2.73	2.72	2.80	2.88	2.85
Estonia	0.77	0.85	0.92	1.12	1.07	1.26	1.40	1.58	2.34	2.16	1.74
Ireland	1.13	1.18	1.20	1.21	1.24	1.39	1.63	1.62	1.53	1.58	
Greece (*)	0.55	0.53	0.58	0.56	0.58	0.66	0.63	0.60	0.67	0.69	0.80
Spain	1.02	1.04	1.10	1.17	1.23	1.32	1.35	1.35	1.32	1.27	1.24
France (*)	2.11	2.09	2.04	2.05	2.02	2.06	2.21	2.18	2.19	2.23	2.23
Croatia	0.95	1.03	0.86	0.74	0.79	0.88	0.84	0.74	0.75	0.75	0.81
Italy	1.06	1.05	1.05	1.09	1,13	1,16	1.22	1.22	1.21	1.27	1.26
Cyprus	0.32	0.34	0.37	0.39	0.40	0.39	0.45	0.45	0.46	0.43	0.48
Latvia	0.36	0.40	0.53	0.65	0.56	0.58	0.45	0.60	0.70	0.66	0.60
Lithuania	1	0.75	0.75	0.79	0.80	0.79	0.83	0.78	0.90	0.90	0.95
Luxembourg	1.65	1.63	1.59	1.69	1.65	1.65	1.72	1.50	1.41	1.16	1.16
Hungary (*)(5)	0.92	0.87	0.93	0.99	0.97	0.99	1.14	1.15	1.20	1.27	1,41
Malta (*)	0.24	0.49	0.53	0.58	0.55	0.53	0.52	0.64	0.70	0.86	0.85
Netherlands (*)	1.81	1.82	1.81	1.77	1.70	1.65	1.69	1.72	1.89	1.97	1.98
Austria	2.18	2.17	2.38	2.37	2.43	2.59	2.61	2.74	2.68	2.81	2.81
Poland	0.54	0.56	0.57	0.55	0.56	0.60	0.67	0.72	0.75	0.89	0.87
Portugal (*)	0.70	0.73	0.76	0.95	1.12	1.45	1.58	1.53	1.46	1.37	1.36
Romania (*)	0.38	0.38	0.41	0.45	0.52	0.57	0.46	0.45	0.49	0.48	0.39
Slovenia (*)(*)	1.25	1,37	1.41	1.53	1.42	1.63	1.82	2.06	2.43	2.58	2.59
Slovakia	0.56	0.50	0.49	0.48	0.45	0.46	0.47	0.62	0.67	0.81	0.83
Finland	3.30	3.31	3.33	3.34	3.35	3.55	3.75	3.73	3.64	3.42	3.31
Sweden (7)	3.61	3.39	3.39	3.50	3.26	3.50	3.42	3.22	3.22	3.28	3,30
United Kingdom	1.67	1.61	1.63	1.65	1.69	1.69	1.75	1.69	1.69	1.63	1.63
Iceland (*)	2.73		2.69	2.91	2.56	2.53	2.66	t.	2.49		
Norway	1.68	1.55	1.48	1.46	1.56	1.56	1.72	1.65	1.63	1.62	1.66
Switzerland		2.68	1000	1000	1	2.73	1	1	1	2.96	100
Montenegro	4	4	18	¥.	÷	+	4	: 1	0.32	1	0.38
Serbia	285		1	÷	t.	and the	0.87	0.74	0.72	0.91	0.73
Turkey	0.48	0.52	0.59	0.58	0.72	0.73	0.85	0.84	0.86	0.92	0.95
China (except Hong Kong) (*)	1.13	1.23	1.32	1.39	1.40	1.47	1.70	1.76	1.84	1.98	
Japan (*)	3.14	3.13	3.31	3.41	3.46	3.47	3.36	3.25	3.38	and a	
Russia	1.29	1.15	1.07	1.07	1.12	1.04	1.25	1.13	1.10	1.13	1.11
South Korea (')(")	2.49	2.68	2.79	3.01	3.21	3.36	3.56	3.74	4.04	1	4
United States (19)	2.55	2.49	2.51	2.55	2.63	2.77	2.82	2.74	2.77	2.81	

EXPENDITURE ON R&D BY SOURCE OF FUNDS (2008 & 2013;% of total)

	Business enterprise sector		Governme	ent sector	Abroad		
	2008	2013	2008	2013	2008	2013	
EU-28 (')	54.8	55.0	33.8	32.8	8.8	9.7	
Euro area (EA-19) (')	56.3	56.9	34.6	33.4	7.2	7.8	
Belgium (*)	61.0	60.2	23.2	23.4	12.3	13.0	
Bulgaria	30.6	19.5	61.2	31.6	6.8	48.3	
Czech Republic	45.0	37.6	44.8	34.7	8.9	27.2	
Denmark (*)	62.1	59.8	26.1	29.3	8.6	7.2	
Germany (*)	67.3	66.1	28.4	29.2	4.0	4.3	
Estonia	39.8	42.1	50.0	47.2	9.4	10.3	
reland (')	48.8	50.3	33.7	27.3	15.6	21.4	
Greece	29.2	30.3	62.2	52.3	5.7	14.0	
Spain	45.0	46.3	45.6	41.6	5.7	7.4	
France (')(*)	50.8	55.4	38.9	35.0	8.0	7.6	
Croatia	40.8	42.8	49.3	39.7	7.9	15.5	
Italy (*)	45.9	44.3	42.0	42.5	7.9	9.5	
Cyprus (")	17.8	10.9	64.1	66.4	14.7	17.5	
Latvia	27.0	21.8	47.3	23.9	23.1	51.6	
Lithuania	29.3	27.5	54.6	34.5	15.5	37.1	
Luxembourg (*)(*)	70.3	47.8	24.3	30.5	5.4	20.4	
Hungary	48.3	46.8	41.8	35.9	9.3	16.6	
Malta	56.5	44.3	27.4	33.9	16.0	20.3	
Netherlands (*)(*)	45.1	47.1	40.9	34.3	10.8	14.3	
Austria (*)	46.1	44.1	37.0	39.1	16.4	16.4	
Poland	30.5	37.3	59.8	47.2	5.4	13.1	
Portugal (')	48.1	46.0	43.7	43.1	3.0	5.2	
Romania (*)	23.3	31.0	70.1	52.3	4.0	15.5	
Slovenia (*)	62.8	63.8	31.3	26.9	5.6	8.9	
Slovakia	34.7	40.2	52.3	38.9	12.3	18.0	
Finland (*)	70.3	60.8	21.8	26.0	6.6	11.5	
Sweden (*)	59.1	61.0	27.3	28.2	10.4	6.8	
United Kingdom	45.4	46.5	30.7	27.0	17.7	20.6	
Iceland (')(*)	50.4	49.8	38.8	40.0	10.0	8.2	
Norway (*)	43.6	43.1	46.8	45.8	8.2	9.5	
Switzerland (')	68.2	60.8	22.8	25.4	6.0	12.1	
Montenegro		42.3	:	31.7		22.5	
Serbia (*)	8.3	7.5	62.9	59.5	7.2	7.8	
Turkey	47.3	48.9	31.6	26.6	1.3	0.8	
China (except Hong Kong) (')(*)	71.7	74.0	23.6	21.6	1.2	1.0	
Japan (*)(*)	78.2	76.5	15.6	16.4	0.4	0.5	
Russia	28.7	28.2	64.7	67.6	5.9	3.0	
South Korea (*)	72.9	73.7	25.4	24.9	0.3	0.2	
United States (')(7)	63.5	59.1	30.4	30.8	200	3.8	



FUNDING OF R&TD FRAMEWORK PROGRAMMES

FRAMEWORK PROGRAMME	PERIOD	BUDGET (billions of €)
First	1984–1987	3.8
Second	1987–1991	5.4
Third	1990–1994	6.6
Fourth	1994–1998	13.2
Fifth	1998–2002	15.0
Sixth	2002–2006	17.9
Seventh	2007–2013	50.5 over seven years + 2.7 for EURATOM over five years
Horizon 2020 (Eighth)	2014–2020	80 (estimated)

CHANGING FOCUS

- The Framework Programmes are funding programmes created by the European **Union/European Commission to support and** foster research in the European Research Area (ERA)
- The specific objectives and actions vary between funding periods: in FP6 and FP7 focus was still in technological research, in Horizon 2020 the focus is in innovation, accelerating economic growth and delivering solutions to end users that are often governmental agencies

HISTORY OF HORIZON 2020

- In 2011 the European Council called on the Commission to bring together all of the previous EU's research and innovation funding under a single common strategic framework
- The Commission launched a wide-ranging consultation involving all key stakeholders which has led to Horizon 2020
- Funding opportunities under Horizon 2020 are set out in multiannual work programmes (WP)
- Horizon 2020 started in 2014; currently WP 2016-2017 is executed, WP 2018-2020 is in preparation

WHAT IS HORIZON 2020?

- ★ Horizon 2020 is the biggest to date EU Research & Innovation programme ever with nearly €80 billion of funding available over 7 years (2014 to 2020) – in addition to the private investment that this money will attract
- It is the financial instrument implementing the Innovation Union, a Europe 2020 flagship initiative aimed at securing Europe's global competitiveness

SOURCES OF CONTRIBUTION

Share of participations in signed grant agreements per type of organisation: Horizon 2020 compared with FP7



Horizon 2020 data is for 2014; FP7 data is for 2007-2013.

EU CONTRIBUTION

Share of EU financial contribution in signed grant agreements per type of organisation: Horizon 2020 compared with FP7

Horizon 2020 data is for 2014; FP7 data is for 2007-2013.



TOPICS FOR CONSULTATION IN 2016

- Future and Emerging Technologies
- Research Infrastructures, including e-Infrastructures
- Nanotechnologies, Advanced Materials, Biotechnology, and Advanced Manufacturing and Processing (NMBP)
- Information and Communication Technologies
- Societal Challenge 2 (Food Security, Sustainable Agriculture and Forestry, Marine, Maritime and Inland Water Research and the Bioeconomy)
- Societal Challenge 4 (Smart, Green and Integrated Transport)
- Societal Challenge 5 (Climate Action, Environment, Resource Efficiency and Raw Materials)

INNOVATION UNION



- Europe's future is connected to its power to innovate
- The Innovation Union, an action-packed initiative for an innovation-friendly Europe, is the solution

 It forms part of the Europe 2020 strategy that aims to create smart, sustainable and inclusive growth

INNOVATION UNION

- Through Innovation Union initiative the European Commission has placed renewed emphasis on the conversion of Europe's scientific expertise into marketable products and services
- The Europe 2020 strategy includes guidelines to optimise support for R&D and innovation, strengthening the knowledge triangle between research, innovation and education

CORDIS

- CORDIS is the Community Research and Development Information Service, available at <u>http://cordis.europa.eu</u>
- It is the European Commission's primary public repository and portal to disseminate information on all EU-funded research projects and their results
- The website provides also comprehensive links to external sources such as open access publications and websites
- CORDIS website is available in six languages (English-French-German-Italian-Spanish-Polish)

CORDIS WEBSITE

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CORDIS SUPPLY

CORDIS offers access to

- The primary repository of EU-funded research projects and their results
- Multilingual "Results in brief" that summarise the outcomes of research projects
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EU RESEARCH RESULTS MAGAZINE

http://cordis.europa.eu/news/research-eu/magazine_en.html



TRIP WEBSITE

http://www.transport-research.info/web

The Transport **Research &** Innovation Portal (TRIP) is the one single portal for information on all transport research and innovation conducted at **European and** national levels Timár. 2017

Transport Research & Innovation Portal Home Sector Mode Policy Technology Evaluation AA COMMUNICATING TRANSPORT RESEARCH AND INNOVATION **Country Profiles** Programmes Full-text search... The Transport Research & Innovation Projects Portal (TRIP), formerly known as the Publications Project Search >> Transpor Transport Research Knowledge Centre Research : Events (TRKC), is the one single portal for Newsletters Innovation Newsroom information on all transport research and Portal Share About TRI innovation conducted at European and in Follow 821 national levels. TRIP contains a vast amount of in-depth information on large Follow @Transport programmes and specific projects across Please log in to submit or Europe and at national level, All update content information is categorised by transport E-mail or username mode and sector. In addition, TRIP's publications provide an overview on specific transport themes and policy. You Password * can disseminate your own news and experience by submitting project information, news or event Create new account announcements to the portal. Request new password Read more Anti-Spam question DE FR Math question * 1 + 12 = Latest News iolve this simple math prob +3, enter 4. April Newsletter Log in 26 Apr 2016: The April newsletter is out and available here: http://www.transport-Submit Event research.info/sites/default/files/newsletters/April... it includes information about TRIP's user survey and the new Urban Mobilit Submit News Submit Project Perpetuum: self-powered rail safety technology drives jobs growth 26 Apr 2016:

A fast-growing spin-out from **Southampton University** has used EU research funding and innovation funding through Innovate UK to further develop technology for the rail industry that is improving safety and reliability and delivering signif

SUCCESS STORIES





Timár, 2017

Innovative localisation system to save lives at sea

On a sinking ship, crews need to evacuate passengers quickly - but they may have to find them first. An innovative system based on EU-funded research may soon make this task a great deal easier. On vessels fitted with this system, teams will be able to locate passengers instantly, on board and in the water. (Published: 27.04.2016)

New solar-powered electric vehicle to lower daily travel costs

Most vehicles run on petrol or diesel that pollute our cities while emptying our wallets. But this could be about to change. The EU-funded PLUS-MOBY project has developed an electric urban vehicle and mobile fast food van that can be partially solar powered. Soon drivers should be able to charge their vehicle like they charge their phone and use solar power to drive up to 20 km per day. (Published 15.04.2016)

SUCCESS STORIES



Smarter and safer transport in Europe

Composite materials have become increasingly important in improving our quality of life as they are widely used in flight vehicles, cars, boats, pipelines, buildings, roads, bridges, and dozens of other products. More and more, researchers are finding new ways to improve the numerous qualities of composites so they may be strong, lightweight, durable and cheap to produce. (Published: 02.12.2014)



Putting smart public transport technology to the test

An EU-funded project is testing intelligent, energyefficient and passenger-friendly bus service innovations designed to bring benefits to both commuters and public authorities across 12 cities in Europe. The most effective solutions are expected to create new market opportunities for cutting-edge technology in public transport. (Published: 04.03.2016)

SUCCESS STORIES



Cetting rail freight back on track in Europe

Transportation costs affect the price of any goods we buy, so reducing them could help our pockets. Road transportation is becoming more efficient with constant innovations, but what about the railroads? Rail transport is seen as cheaper and greener than road vehicles when it comes to long distances. It's hoped innovative thinking will put Europe's railroads on track towards a brighter future. (Published: 19.04.2016)



Timár, 2017

Containers get a redesign to pack more in: Tellibox

Used all over the world to ship goods, containers are at the very heart of global trade. They have been around since the 1950s and have revolutionised the way we manufacture, trade and consume goods. The new design of the Tellibox combines elements of various current technologies to give a 100 m3 container that can be loaded from three sides, has a flexible lid and is compatible with the current intermodal transport system. (Published: 26.03.2013)